

**THE SECOND JUDICIAL DISTRICT COURT OF THE STATE OF NEW
MEXICO**

REQUEST FOR PROPOSALS

Date: May 7, 2025

**No: 2025-001
AMENDED**

For: AOT Provider Services

Proposal Deadline: May 30, 2025, at 5:00 PM



The Procurement Code NMSA 1978, §§ 13-1-1 through 13-1-199 imposes civil and misdemeanor penalties for its violation. In addition, the New Mexico criminal statutes impose felony penalties for bribes, gratuities, and kickbacks.

Table of Contents

<u>BACKGROUND INFORMATION</u>	3
SCOPE WORK.....	4
<u>Response Deadline</u> May 30, 2025, at 5:00 p.m.....	8
BASIC REQUIREMENTS AND CONDITIONS.....	8
PROCUREMENT MANAGER.....	13
DEFINITION OF TERMINOLOGY.....	14
PROPOSAL FORMAT AND ORGANIZATION.....	16
CONDITIONS GOVERNING THE PROCUREMENT.....	18
SEQUENCE OF EVENTS	18
EXPLANATION OF EVENTS	19
EVALUATION.....	21
Evaluation Process	22
APPENDIX A	23
APPENDIX B.....	24
APPENDIX C.....	25
APPENDIX D	28
APPENDIX E.....	30
APPENDIX F	32
APPENDIX G.....	34

I. INTRODUCTION

BACKGROUND INFORMATION

The Second Judicial District Court (SJDC), is part of the Judicial Branch of state government as established in Article VI, Section 12, of the New Mexico Constitution. It is the largest general jurisdiction trial court in New Mexico and processes approximately 50,000 cases per year. SJDC has an Assisted Outpatient Treatment (AOT) Program.

PURPOSE OF THIS REQUEST FOR PROPOSAL

The purpose of the Request for Proposal (RFP) is to solicit sealed proposals from mental health treatment providers to provide treatment services to participants in AOT case matters at the SJDC. The Contractor will provide mental health treatment services for adults under the AOT Program (“Participants”), who are committed to outpatient treatment under the Mental Health Code, specifically, Assisted Outpatient Treatment, NMSA 1978, §§ 43-1B-1 through 43-1B-14, as amended. Treatment services would cover participants all cases filed, reopened, or reassigned during the contract period, as assigned. The Contractor will provide treatment services to Participants at all stages of the district court proceedings and will attend AOT Team meetings monthly as scheduled by the Program Manager.

Professional Services Agreements are awarded in compliance with all relevant provisions of the New Mexico Procurement Code (NMSA 1978 13-1-28 through 13-1-199).

All costs incurred by the Offeror in the preparation, transmittal, or presentation of any proposal or material submitted in response to this RFP shall be borne solely by the Offeror.

Before the award is made, the SJDC may conduct discussion with Offerors who submit proposals that are determined to be reasonably susceptible of being selected for awards, but the award may be made without discussions.

When it is in the best interest of the State of New Mexico, this RFP may be canceled, or any and all proposals may be rejected in whole or in part. Any contract awarded as a result of this RFP process may be terminated or reduced in scope if sufficient appropriations or authorizations do not exist. Such termination will be affected by the SJDC by sending written notice to the contractor. The decision of the SJDC as to whether sufficient appropriations and authorizations are available will be accepted by the contractor as final. Applicants may revise or withdraw their proposal before the proposal submission deadline date and time by delivering written notice to the SJDC Procurement Manager. The revision or withdrawal must be signed by the person submitting the proposal. If it becomes necessary to revise any part of the RFP or if additional information is needed to clarify any provision of the RFP, the revision and/or additional information shall be provided to all persons who receive the RFP packet. All persons intending to make a proposal shall provide written acknowledgment of receipt of any revisions or supplements. The SJDC shall not issue a revision or supplement to the RFP less than seven working days before the deadline set for the receipt of proposals unless the SJDC extends the deadline. Any protests of the award must be made

in accordance with the Procurement Code, NMSA 1978 Section 13-1-1 through 13-1-199.

Acknowledgement of Receipt:

Potential Offerors should email the “Acknowledgement of Receipt Form” (APPENDIX A) to be placed on the procurement distribution list. The form should be signed by an authorized representative of the organization, dated, and returned to the Procurement Manager on May 21 2025, by 5:00 pm.

The procurement distribution list will be used for the distribution of written responses to questions. Failure to return the Acknowledgement of Receipt form shall constitute a presumption of receipt and rejection of the RFP, and the potential Offeror’s organization name shall not appear on the distribution list.

SCOPE OF WORK

The Second Judicial District Court will allocate an award to one or more agencies to provide clinical services for the Assisted Outpatient Treatment Program (AOT) that includes the qualified professional services and case management provisions. Agencies can elect to submit a proposal for either the qualified professional services, the case management provisions, or both.

AOT is a civil court program that facilitates the delivery of community-based behavioral treatment to individuals with a serious mental illness who have a prior history of treatment non-adherence, which has resulted in multiple hospitalizations and/or incarcerations. The intent of the funds is to improve the quality of life for persons with a primary diagnosis of a mental health disorder, and possible secondary substance use disorder, by engaging clients in effective treatment to overcome the barriers that have led to their high utilization of crisis-based treatment services and unnecessary incarceration.

Ideal candidates for receipt of these funds are established clinical providers with experience working with persons with serious mental illness. The New Mexico AOT statute identifies a qualified professional as – a physician, licensed psychologist, prescribing psychologist, certified nurse practitioner or clinical nurse specialist with a specialty in mental health, or a physician assistant with a specialty in mental health.

Successful Offerors will work effectively with the Second Judicial District Court and associated staff, in operating AOT. Services will include wrap-around connection to outpatient care and case management for court ordered program participants annually; involving families in treatment plans in order to improve participant outcomes; increasing medication and outpatient treatment adherence among program participants; and completing court ordered treatment plans.

General Requirements for Successful Offeror(s):

The Successful Offeror(s):

- A. Shall be established clinical providers with experience working with persons with serious mental illness.
- B. Shall be able to Invoice Medicaid and other revenue realized from provision of services

to the extent possible in order to maximize services and funding for any non-Medicaid clients or non-Medicaid covered expenses.

- C. Shall work effectively with the Second Judicial District Court and associated staff, in operating AOT.
- D. Shall consider individual language needs and other social beliefs or cultural norms in comprehensive assessments.
- E. Shall collaborate and coordinate with area hospitals to ensure appropriate discharge planning and follow up for individuals participating in the AOT program, as applicable.
- F. If deemed by the SJDC to receive referrals, shall screen within two (2) business days for clinical and legal appropriateness for AOT services, utilizing the approved screening tool which incorporates New Mexico AOT State statutes.
- G. Whether the client is engaged in services with an agency or not, the agency will work with the petitioning attorney to file an AOT petition.
- H. Shall anticipate outcomes to include, but not be limited to, reduction in client utilization of emergency department and hospitalization; reduction in length of stay at inpatient mental facilities and jail; reduction in arrests, jail entry and re-entry of persons experiencing behavioral health crises; reduction in adverse experiences (self-harm, violence to others, homelessness, further contact with the police, etc.) after AOT engagement; increased quality of life (i.e., better coping strategies; reduction in use of higher levels of care; reduction in suicide or other violence, or sustained participation in treatment); satisfaction among clients, families, and program staff; and increased savings to health systems through increased community-based care and less reliance on higher levels of care.
- I. Shall attend any program mandated meetings and trainings, including agency management and supervision staff.
- J. Behavioral Health Assessments shall be completed by the appropriate independently licensed clinician.
- K. Conduct post discharge follow-up contact as appropriate.

Specific Requirements for Case Management Provision:

The Successful Offeror(s) shall:

- A. Provide case management services for participants enrolled in AOT in the form of Comprehensive Community Support Services (CCSS) or Assertive Community Treatment (ACT) services.
- B. Involve families in treatment in order to improve participant outcomes.
- C. Increase medication and outpatient treatment adherence among program participants.
- D. Assure that the appropriate connections occur with primary care or other medical resources. Promote client treatment and service plan adherence as well as medication adherence through client and family contact via phone, home visits, and in-person meetings whose frequency is determined by the acuity of the client's symptomatology. Meet with the participant on a regular basis to determine adherence and that medical, behavioral health, and social needs are being met.
- E. Assist in facilitating appointments and ensure that social needs such as transportation is not a barrier. Provide, assist, and educate participants on obtaining transportation to services that support treatment plan goals.
- F. Provide appropriate linkages to supportive housing, food assistance, benefits enrollment plans, and other social needs; and referrals to legal representation, as applicable.

- G. Provide or connect client to, educational and vocational training activities, as indicated.
- H. Provide or connect client to, alcohol and substance abuse treatment and counseling, as indicated.
- I. Facilitate and encourage the development of skills in the following areas: activities of daily living, interpersonal coping, socialization, & community functioning. Based on the needs of the participant, this function could include facilitating adaptation to the home, school, work, recreational, and social environments; pro-active self-care, nutrition, and money management.
- J. Offer information and resources to provide participant information on their specific diagnosis; serve as a mentor to promote recovery and resiliency and instill hope; teach symptom monitoring, symptom management, and relapse prevention skills.
- K. Assist individuals with knowledge of their medication, side effects, discuss medication concerns with the provider; facilitate self-motivational skills for medication regimen, including consequences to independent living.
- L. Assist in the development and coordination of the participant's treatment plan based on their identified strengths and goals. The plan will include a recovery/resiliency management plan, crisis management plan and if requested, advanced directives concerning the participant's behavioral healthcare.
- M. Work with the participant to identify personal strengths, needs and barriers to attaining self-identified goals; conduct ongoing assessments to determine if the services accessed are meeting or have adequately met the participant's needs.
- N. Assess, support and intervene in crisis situations including the facilitation of the development and use of individual crisis management plans that recognize the early signs of crisis/relapse and use natural supports. Identify and encourage use of alternatives to hospital emergency departments and inpatient hospital services.
- O. Collaborate and coordinate with area hospitals to ensure appropriate discharge planning and follow up for individuals participating in the AOT program.
- P. Coordinate and monitor use of services, including comprehensive tracking of participant activities in relation to the treatment plan such as attendance to all scheduled appointments, reviewing documentation of other in-house providers, and maintaining contact with external providers.
- Q. Communicate with the court to include regular adherence reports and follow up meetings as needed. Review attendance and participation information from assigned clinical services to make sure that the treatment objectives of the client are being met. Should it become apparent that the participant is not successful in engaging in treatment, all AOT-related providers will convene to determine the best intervention to facilitate treatment engagement, which will include filing a nonadherence form (notification of important concern report) with the courts, at no more than 30 days, but in as little as 3 days after the determination of non-adherence. The non-adherence report notifies the courts that further action is required. Clients will be advised of the consequence for non-compliance and the need to reappear before the courts and, as needed, connected to appropriate legal representation.
- R. Assist in facilitating the participant's appearance at court, as well as attend court with the participant.

Specific Requirements for Qualified Professional Services:

The successful Offeror(s) Shall:

- A. Have a qualified professional on staff available to assess and prescribe prescribing for AOT clients, as defined by the state of New Mexico - a physician, licensed psychologist, prescribing psychologist, certified nurse practitioner or clinical nurse specialist with a specialty in mental health, or a physician assistant with a specialty in mental health.
- B. Conduct psychiatric assessments of individuals referred and screened for eligibility for AOT. Assessments can occur in the hospital, a detention facility, or in the community.
- C. Determine if the referred individual is unwilling or unlikely to participate voluntarily in outpatient treatment without which the participant is unlikely to live safely in the community without court supervision.
- D. Determine if AOT is the least restrictive appropriate alternative for the referred individual, and necessary to prevent a relapse or deterioration likely to result in serious harm to self or others.
- E. Determine if the referred individual is likely to benefit from AOT and that AOT is in the individual's best interests.
- F. Submit an affidavit within thirty (30) days of the individual's examination documenting clinical recommendations for the referred individual to participate in AOT.
- G. Assist in the creation of a written proposed treatment plan. Identify whether comprehensive community support services (CCSS) or an assertive community treatment (ACT) will provide the care coordination for AOT participants. If the plan includes medication, it shall state whether such medication should be self-administered or administered by a specified provider and shall specify type and dosage range of medication. In no event shall the plan recommend the use of physical force or restraints to administer medication to the respondent. The treatment plan will be reviewed with clients every ninety (90) days thereafter, with any recommended addendums submitted to the court for approval as needed.
- H. Attend hearings within three (3) to seven (7) days of the petition being filed, either in person or virtually as determined by the court.
- I. Provide participants with medication access and adherence consultations including prescription benefits enrollment support and medication management education if needed, which could include the use of Telehealth.
- J. Order periodic blood or urine tests to determine compliance with medication, as needed.

Program specific outcomes include but are not limited to the following:

- Increase in appointment adherence among participants throughout the 12-month program, including 90-day treatment plan updates and family/self-reported improvement in adherence to medication instructions.
- Cross-train clinical teams and court oversight staff in delivering age, gender and culturally linguistic appropriate services; appropriate linkages to supportive housing, food assistance, benefits enrollment plans, and other social needs; and referrals to legal representation, to 100% of AOT participants.
- Anticipated outcomes may include, but are not limited to, reduction in client utilization of emergency department and hospitalization; reduction in length of stay at inpatient mental facilities and jail; reduction in arrests, jail entry and re-entry of persons experiencing behavioral health crises; reduction in adverse experiences (self-harm, violence to others, homelessness, further contact with the police, etc.) after AOT engagement; increased quality of life (i.e., better coping strategies; reduction in use of higher levels of care;

reduction in suicide or other violence, or sustained participation in treatment); satisfaction among clients, families, and program staff; and increased savings to health systems through increased community-based care and less reliance on higher levels of care.

Response Deadline May 30, 2025, at 5:00 PM

Proposals must be received by the Procurement Manager by the above date and time to be accepted for review. Requests for extensions of this deadline shall not be granted. Proposals received after the above date and time will not be accepted and will be returned to the applicant unopened.

Basic Requirements and Conditions

1. Acceptance of Conditions Governing the Procurement

Offerors must indicate their acceptance of the Conditions Governing the Procurement section in the Letter of Transmittal. Submission of a proposal constitutes acceptance of the Evaluation Criteria.

2. Incurring Costs

Any cost incurred by the Offeror in preparation, transmittal, presentation of any proposal or material submitted in response to this RFP shall be borne solely by the Offeror.

3. Prime Contractor Responsibility

Any contract that may result from this RFP shall specify that the prime contractor is solely responsible for fulfillment of the contract with SJDC. The SJDC will make contract payments to only the prime contractor.

4. Subcontractors

SJDC must approve the use of subcontractors. Use of subcontractors must be clearly explained in the proposal, and major subcontractors must be identified by name. The prime contractor shall be wholly responsible for the entire performance whether or not subcontractors are used.

5. Amended Proposals

An Offeror may submit an amended proposal before the deadline for receipt of proposals. Such amended proposals must be complete replacements for a previously submitted proposal and must be clearly identified as such in the transmittal letter. SJDC personnel will not merge, collate, or assemble proposal materials.

6. Offeror's Rights to Withdraw Proposal

Offerors will be allowed to withdraw their proposals at any time prior to the deadline for receipt of proposals. The Offeror must submit a written withdrawal request signed by the Offeror's duly authorized representative addressed to the Procurement Manager. The approval or denial or withdrawal requests received after the deadline for receipt of the proposals is governed by the applicable procurement regulation.

7. Proposal Offer Firm

Responses to this RFP, including acceptance of prescribed rates for services, will be considered firm for one hundred twenty (120) days after the due date for receipt of proposals.

8. Disclosure of Proposal Contents

The proposals will be kept confidential until a contract is awarded. At that time, all proposals and documents pertaining to the proposals will be open to the public, except for the material which is proprietary or confidential. The Procurement Manager will not disclose or make public any pages of a proposal which the Offeror has stamped or imprinted “proprietary” or “confidential” subject to the following requirements.

Proprietary or confidential data shall be readily separable from the proposal in order to facilitate eventual public inspection of the non-confidential portion of the proposal. Confidential data is normally restricted to confidential financial information concerning the Offeror’s organization and data that qualifies as a trade secret in accordance with the Uniform Trade Secrets Act, NMSA 1978, §§57-3A-1 to 57-3A-7.

If a request is received for disclosure of data for which an Offeror has made a written request for confidentiality, the Procurement Manager shall examine the Offeror’s request and make a written determination that specifies which portions of the proposal should be disclosed. Unless the Offeror takes legal action within ten (10) days to prevent the disclosure, then the proposal shall be open to public inspection subject to any continuing prohibition on the disclosure of confidential data.

9. No Obligation

This procurement in no manner obligates the SJDC or any of its departments or agencies to the service offered until a valid written contract is approved by the SJDC.

10. Termination

This RFP may be canceled at any time up to and including the deadline for submitting protests and any and all proposals may be rejected in whole or in part when the SJDC determines such action to be in the best interest of the SJDC and the State of New Mexico.

11. Sufficient Appropriation

Any contract awarded as a result of this RFP process may be terminated if sufficient appropriations or authorizations do not exist. Such termination will be effected by sending written notice to the contractor. The SJDC decision as to whether sufficient appropriations and authorizations are available will be accepted by the Contractor as final.

12. Agreement

The SJDC requires that all Offerors agree to be bound by the General Requirements contained in the RFP. Any Offeror concerns must be promptly brought to the attention of the Procurement Manager.

13. Governing Law

This procurement and any agreement with Offerors that may result shall be governed by the laws of the State of New Mexico.

14. Basis for Proposal

Only information supplied by the SJDC in writing through the Procurement Manager or in this RFP should be used as the basis for the preparation of Offeror proposals.

15. Contract Terms and Conditions

The contract between the SJDC and the Contractor or Contractors will follow the format specified by the SJDC. Should an Offeror object to any of the SJDC's terms and conditions, as contained in this Section then Offeror must propose specific alternative language that would be acceptable to the SJDC. General references to the Offerors terms and conditions or attempts at complete substitutions are not acceptable to the SJDC and will result in disqualification of the Offeror's proposal. Offerors must provide a brief discussion of the purpose and impact, if any, of each proposed change followed by the specific proposed alternate wording. All contracts for professional services are subject to the review and approval by the SJDC.

16. Offeror's Terms and Conditions

Offerors must submit with the proposal a complete set of any additional terms and conditions which they expect to have included in a contract negotiated with the SJDC.

17. Contract Deviations

Any additional terms and conditions, which may be the subject of negotiation, will be discussed only between the SJDC and the selected Offerors and will not be deemed an opportunity to amend the Offeror's proposal.

18. Offeror Qualifications

The Evaluation Committee may make such investigations as necessary to determine the ability of the Offeror to adhere to the requirements specified within this RFP. The Evaluation Committee will reject the proposal of any Offeror who is not a responsible Offeror or fails to submit a responsive offer as defined in NMSA 1978, §§13-1-83 and 13-1-85.

19. Right to Waive

The Evaluation Committee reserves the right to waive minor irregularities. The Evaluation Committee also reserves the right to waive mandatory requirements provided that all of the otherwise responsive proposals failed to meet the same mandatory requirements and the failure to do so does not otherwise materially affect the procurement. The right to waive minor irregularities and mandatory requirements is at the sole discretion of the Evaluation Committee.

20. Change in Contractor Representatives

The SJDC reserves the right to require a change in Contractor representatives if the assigned representatives are not, in the opinion of the Second Judicial District Court, representing the Contractor adequately.

21. Notice of Criminal Penalties

The Procurement Code, NMSA 1978, §§13-1-28 through 13-1-199 imposes civil and misdemeanor criminal penalties for its violation. In addition, the New Mexico Criminal Statutes impose felony penalties for bribes, gratuities and kick-backs.

22. Right to Publish

Throughout the duration of this procurement process and contract term, potential Offerors and Contractors must secure from the SJDC written approval prior to the release of any information that pertains to the potential work or activities covered by this RFP or the subsequent contract. Failure to adhere to this requirement may result in disqualification of the Offerors proposal or termination of the contract.

23. Ownership of Proposals

All documents submitted in response to this RFP will become the property of the SJDC.

24. Electronic mail address required

A large part of the communication regarding this procurement will be conducted by electronic mail (email). Offeror must have a valid email address to receive this correspondence.

25. New Mexico Employees Health Coverage

If the Offeror has, or grows to, six (6) or more employees who work, or who are expected to work, an average of at least 20 hours per week over a six (6) month period during the term of the contract, Offeror must agree to have in place, and agree to maintain for the term of the contract, health insurance for those employees if the expected annual value in the aggregate of any and all contracts between Contractor and the State exceed \$250,000 dollars.

Offeror must agree to maintain a record of the number of employees who have (a) accepted health insurance; (b) decline health insurance due to other health insurance coverage already in place; or (c) decline health insurance for other reasons. These records are subject to review and audit by a representative of the state.

Offeror must agree to advise all employees of the availability of State publicly financed health care coverage programs by providing each employee with, as a minimum, the following web site link to additional information <https://www.bewellnm.com/>.

26. Campaign Contribution Disclosure Form

Offeror must complete, sign, and return the *Campaign Contribution Disclosure Form*, as a part of their proposal. This requirement applies regardless whether a covered contribution was made or not made for the positions of Governor and Lieutenant Governor or other identified official. Failure to complete and return the signed unaltered form will result in disqualification.

27. Disclosure Regarding Responsibility

Any prospective Contractor and any of its Principals who enter into a contract greater than sixty thousand dollars (\$60,000.00) with the SJDC for professional services, tangible personal property, services or construction agrees to disclose whether the Contractor, or any principal of the Contractor's company:

- a. is presently debarred, suspended, proposed for debarment, or declared ineligible for award of contract by any federal entity, state agency or local public body;
- b. has within a three-year period preceding this offer, been convicted in a criminal matter or had a civil judgment rendered against them for:
 - i. the commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) contract

- or subcontract;
- ii. violation of Federal or state antitrust statutes related to the submission of offers; or
- iii. the commission in any federal or state jurisdiction of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, violation of Federal criminal tax law, or receiving stolen property;
- c. is presently indicted for, or otherwise criminally or civilly charged by any (federal state or local) government entity with the commission of any of the offenses enumerated in paragraph A of this disclosure;
- d. has, preceding this offer, been notified of any delinquent Federal or state taxes in an amount that exceeds \$3,000.00 of which the liability remains unsatisfied. Taxes are considered delinquent if the following criteria apply.
 - i. The tax liability is finally determined. The liability is finally determined if it has been assessed. A liability is not finally determined if there is a pending administrative or judicial challenge. In the case of a judicial challenge of the liability, the liability is not finally determined until all judicial appeal rights have been exhausted.
 - ii. The taxpayer is delinquent in making payment. A taxpayer is delinquent if the taxpayer has failed to pay the tax liability when full payment was due and required. A taxpayer is not delinquent in cases where enforced collection action is precluded.
 - iii. Have within a three year period preceding this offer, had one or more contracts terminated for default by any federal or state agency or local public body.)
- e. Principal, for the purpose of this disclosure, means an officer, director, owner, partner, or a person having primary management or supervisory responsibilities within a business entity or related entities.
- f. The Contractor shall provide immediate written notice to the SJDC if, at any time during the term of this Agreement, the Contractor learns that the Contractor's disclosure was at any time erroneous or became erroneous by reason of changed circumstances.
- g. A disclosure that any of the items in this requirement exist will not necessarily result in termination of this Agreement. However, the disclosure will be considered in the determination of the Contractor's responsibility and ability to perform under this Agreement. Failure of the Contractor to furnish a disclosure or provide additional information as requested will be grounds for immediate termination of the Agreement.
- h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the disclosure required by this document. The knowledge and information of a Contractor is not required to exceed that which is the normally possessed by a prudent person in the ordinary course of business dealings.
- i. The disclosure requirement provided is a material representation of fact upon which reliance was placed when making an award and is a continuing material representation of the facts during the term of the resulting Agreement. If during the performance of the contract, the Contractor is indicted for or otherwise

criminally or civilly charged by any government entity (federal, state or local) with commission of any offenses named in this document the Contractor must provide immediate written notice to the SJDC. If it is later determined that the Contractor knowingly rendered an erroneous disclosure, in addition to other remedies available to the Government, the SJDC may terminate the involved contract for cause. Still further the SJDC may suspend or debar the Contractor from eligibility for future solicitations until such time as the matter is resolved to the satisfaction of the SJDC.

28. Conflict of Interest; Governmental Conduct Act

The Offeror warrants that it presently has no interest and shall not acquire any interest, direct or indirect, which would conflict in any manner or degree with the performance or services required under the Agreement without the written consent by the CEO of SJDC. Prior disclosure of conflicts of interest shall be a condition precedent, and failure to disclose shall be grounds for subsequent contract termination. The Offeror certifies that the requirements of the Governmental Conduct Act, NMSA 1978, Sections 10-16-1 through 10-16-18, regarding contracting with a public officer or state employee or former state employee have been followed.

PROCUREMENT MANAGER

1. SJDC has assigned a Procurement Manager who is responsible for the conduct of its procurement whose name, address, telephone number and e-mail address are listed below:

Dorella Molina
Procurement Manager
Bernalillo County Courthouse
400 Lomas Blvd. NW
Albuquerque, NM 87102

P.O. Box 488
Albuquerque, New Mexico 87103

Telephone: (505) 841-7467
Email: albddcm@nmcourts.gov

2. All deliveries of responses shall be submitted via email as follows:

Name: Dorella Molina, Procurement Manager
Reference RFP Name: AOT Provider Services RFP # 2025-001
Address: albddcm@nmcourts.gov

Any inquiries or requests regarding this procurement should be submitted, in writing, to the Procurement Manager. Offerors may contact ONLY the Procurement Manager regarding this procurement. Other Evaluation Committee members do not have the authority to respond on behalf of the SJDC.

DEFINITION OF TERMINOLOGY

“SJDC” means the Second Judicial District Court; SJDC is sponsoring the Procurement action.

“Authorized Purchaser” means an individual authorized by a Participating Entity to place orders against this contract.

“Award” means the final execution of the contract document.

“Business Hours” means 8:00 AM thru 5:00 PM Mountain Standard or Mountain Daylight Time, whichever is in effect on the date given.

“Close of Business” means 5:00 PM Mountain Standard or Daylight Time, whichever is in use at that time.

“Contract” means any agreement for the procurement of items of tangible personal property, services or construction.

“Contractor” means any business having a contract with a state agency or local public body.

“Determination” means the written documentation of a decision of a procurement officer including findings of fact required to support a decision. A determination becomes part of the procurement file to which it pertains.

“Desirable” – the terms “may”, “can”, “should”, “preferably”, or “prefers” identify a desirable or discretionary item or factor.

“Evaluation Committee” means a body appointed to perform the evaluation of Offerors’ proposals.

“Finalist” means an Offeror who meets all the mandatory specifications of this Request for Proposals and whose score on evaluation factors is sufficiently high to merit further consideration by the Evaluation Committee.

“Mandatory”– the terms “must”, “shall”, “will”, “is required”, or “are required”, identify a mandatory item or factor. Failure to meet a mandatory item or factor will result in the rejection of the Offeror’s proposal.

“Minor Technical Irregularities” anything in the proposal that does not affect the price quality and quantity or any other mandatory requirement.

“Multiple Source Award” means an award of an indefinite quantity contract for one or more similar services, items of tangible personal property or construction to more than one Offeror.

“Offeror” is any person, corporation, or partnership who chooses to submit a proposal.

“Procurement Manager” means any person or designee authorized by a state agency or local public body to enter into or administer contracts and make written determinations with respect thereto.

“Procuring Agency” means all State of New Mexico agencies, commissions, institutions, political subdivisions and local public bodies allowed by law to entertain procurements.

“Project” means a temporary process undertaken to solve a well-defined goal or objective with clearly defined start and end times, a set of clearly defined tasks, and a budget. The project terminates once the project scope is achieved and project acceptance is given by the project executive sponsor.

“Request for Proposals (RFP)” means all documents, including those attached or incorporated by reference, used for soliciting proposals.

“Responsible Offeror” means an Offeror who submits a responsive proposal and who has furnished, when required, information and data to prove that his financial resources, production or service facilities, personnel, service reputation and experience are adequate to make satisfactory delivery of the services, or items of tangible personal property described in the proposal.

“Responsive Offer” or means an offer which conforms in all material respects to the requirements set forth in the request for proposals. Material respects of a request for proposals include, but are not limited to price, quality, quantity or delivery requirements.

“Staff” means any individual who is a full-time, part-time, or an independently contracted employee with the Offeror’s company.

PROPOSAL FORMAT AND ORGANIZATION

1. Number of Responses

Offerors may submit more than one proposal. If submitting more than one proposal the Offeror shall identify each proposal as distinct from any other submission.

2. Proposal Format

In order to facilitate the analysis of responses to the RFP vendors are required to prepare their proposals in accordance with the instructions outlined in this section. All proposals must be typewritten on standard 8 ½ x11 paper (larger paper is permissible for charts, spreadsheets, etc.) and placed within a binder with tabs delineating each section.

3. Proposal Organization

The proposal must be organized and indexed in the following format and must contain, at a minimum, all listed items in the sequence indicated.

- a) Letter of Transmittal
- b) Table of Contents
- c) Proposal Summary
- d) Response to Evaluation Criteria
- e) Campaign Contribution Form
- f) Offeror's Additional Terms and Conditions (if any)
- g) Updated Resume
- h) Professional References
- i) Other Supporting Material

Within each section of their proposal, Offerors should address the items in the order in which they appear in this RFP. All forms provided in the RFP must be thoroughly completed and included in the appropriate section of the proposal. Any proposal that does not adhere to these requirements may be deemed non-responsive and rejected on that basis. Offerors may attach other materials which may improve the quality of their responses. However, these materials should be included as items in a separate appendix.

4. Letter of Transmittal

Each proposal must be accompanied by a letter of transmittal. The letter of transmittal MUST:

- a) identify the RFP being responded to;
- b) identify the name and telephone number of the person responding to the RFP;
- c) explicitly indicate acceptance of the Conditions Governing the Procurement;
- d) acknowledge receipt of any and all amendments to this RFP; and
- e) include any federal tax identification number and/or New Mexico Gross Receipts Tax number.

5. Resume

Each proposal must include the most recent resume for each individual proposed for this contract.

6. Professional References

Each proposal must include two (2) professional reference letters. Through the references provided, the Second Judicial District Court will evaluate the performance of and professionalism shown by the contractor for work performed for any of the courts or other state agencies in New Mexico.

7. Campaign Contribution Disclosure

Potential Offerors must submit with their response the “Campaign Contribution Disclosure Form” pursuant to NMSA 1978, § 13-1-191.1 (2007).

II. CONDITIONS GOVERNING THE PROCUREMENT

This section of the RFP contains the schedule, description and conditions governing the procurement.

SEQUENCE OF EVENTS

The Procurement Manager will make every effort to adhere to the following schedule:

Action	Responsible Party	Due Dates
1. Issue RFP	SJDC	May 7, 2025
2. Deadline to Submit Receipt of Application	Potential Offerors	May 21, 2025
3. Deadline to submit Questions	Potential Offerors	May 21, 2025
4. Responses to Written Questions	SJDC	May 27, 2025
5. Submission of Proposal	Offerors	May 30, 2025, at 5:00 p.m.
6. Campaign Contribution Disclosure	Offerors	May 30, 2025, at 5:00 p.m.
7. Proposal Evaluation	Evaluation Committee	June 4, 2025

8. Finalize Contractual Agreements	SJDC	June 9, 2025
9. Contract Awards	SJDC	June 9, 2025
10. Protest Deadline	SJDC/Finalist Offerors	June 23, 2025

EXPLANATION OF EVENTS

The following paragraphs describe the activities listed in the sequence of events shown above.

1. Issuance of RFP

This RFP is being issued on behalf of the SJDC on Wednesday, May 7, 2025.

2. Acknowledgement of Receipt Due

Potential Offerors who desire to be placed on a distribution list for distribution of written responses to questions and other announcements regarding the procurement process should hand deliver, return by email the *Acknowledgement of Receipt of Request for Proposals Form* that accompanies this document, **APPENDIX A**, to have their organization placed on the procurement distribution list. The form should be signed by an authorized representative of the organization, dated and returned to the Procurement Manager by May 21, 2025.

Failure to return the Acknowledgement of Receipt form shall constitute a presumption of receipt and rejection of the RFP, and the potential Offerors organization name shall not appear on the distribution list.

3. Deadline to Submit Written Questions

Potential Offerors may submit written questions to the Procurement Manager as to the intent or clarity of this RFP until 5:00 pm Mountain Standard Time/Daylight Time as indicated in the sequence of events. All written questions must be addressed to the Procurement Manager.

4. Response to Written Questions

Written responses to written questions will be distributed as indicated in the sequence of events to all potential Offerors whose organization name appears on the procurement distribution list. An e-mail copy will be sent to all Offerors that provide Acknowledgement of Receipt Forms (**Appendix A**) before the deadline. Additional copies will be posted to the Court's website.

5. Submission of Proposal

ALL OFFEROR PROPOSALS MUST BE RECEIVED FOR REVIEW AND EVALUATION BY THE PROCUREMENT MANAGER OR DESIGNEE NO LATER THAN 5:00 PM MOUNTAIN STANDARD TIME/DAYLIGHTTIME ON **May 30, 2025**. Proposals received after this deadline will not be accepted. The date and time of receipt will be recorded on each proposal.

Proposals must be emailed and delivered to the Procurement Manager at the email address listed above. Proposals should clearly indicate that they are in response to the SJDC, AOT Provider Services RFP No. 2025-001

The negotiation process is deemed to be in effect until the contract is awarded pursuant to this Request for Proposals. Awarded in this context means the final required state agency signature on the contract(s) resulting from the procurement has been obtained.

6. Proposal Evaluation

An Evaluation Committee will perform the evaluation of proposals. This process will take place as indicated in the sequence of events, depending upon the number of proposals received. During this time, the Procurement Manager may initiate discussions with Offerors who submit responsive or potentially responsive proposals for the purpose of clarifying aspects of the proposals. However, proposals may be accepted and evaluated without such discussion. Discussions SHALL NOT be initiated by the Offerors.

7. Finalize Contractual Agreements

Any Contractual agreements resulting from this RFP will be finalized with the most advantageous Offerors as per the Sequence of Events or as soon thereafter as possible. This date is subject to change at the discretion of the SJDC.

8. Contract Awards

After review of the Evaluation Committee Report and the signed contractual agreements, SJDC Fiscal Services will award as per the schedule in Sequence of Events or as soon as possible thereafter. This date is subject to change at the discretion of the SJDC Administration.

The contract shall be awarded to the Offeror (or Offerors) whose proposals are most advantageous to the SJDC taking into consideration the evaluation factors set forth in this RFP. The most advantageous proposal(s) may or may not have received the most points. The award is subject to appropriate SJDC approval.

9. Protest Deadline

Any protest by an Offeror must be timely and in conformance with NMSA 1978, Section 13-1-172 and applicable procurement regulations. The fifteen (15) calendar day protest period shall begin on the day following the award of contracts and will end at 5:00 pm Mountain Standard Time/Daylight Time on the 15th day. Protests must be written and must include the name and address of the protestor and the request for proposal number. It must also contain a statement of the grounds for protest including appropriate supporting exhibits and it must specify the ruling requested from the party listed below. The protest must be emailed to:

Daniel Smith
 Protest Manager
 Email: albddms@nmcourts.gov

Protests received after the deadline will not be accepted.

VI. EVALUATION

EVALUATION SUMMARY

The following is a summary of evaluation factors with point values assigned to each. These weighted factors will be used in the evaluation of individual potential Offeror proposals by sub-category.

Factor	Points Available
Offeror's experience working with individuals with severe mental illness	30
Offeror's familiarity and experience with billing practices, including ability to leverage Medicaid and Medicare reimbursement to the extent possible	20
Offeror's experience collaborating with multiple organizations	20
Description of Offeror's office organization, automation, office hours (reachable by clients), calendaring system, and ability to meet deadlines, time/billing system, and case management systems.	15
Offeror's ability to meet with clients generally, ability meet with clients in various facilities and setting, and availability to attend hearings on short notice	15

Total Maximum Allowable Points

100 points

EVALUATION PROCESS

1. All Offeror proposals will be reviewed for compliance with the requirements and specifications stated within the RFP. Proposals deemed non-responsive will be eliminated from further consideration.
2. The Procurement Manager may contact the Offeror for clarification of the response.
3. The Evaluation Committee may use other sources of information to perform the evaluation.
4. Offerors whose proposals are most advantageous to SJDC will be recommended for award. Please note, however, that a serious deficiency in the response to any one factor may be grounds for rejection.
5. Proposals deemed to be non-responsive will be eliminated from further consideration. Proposals deemed to be responsive will be evaluated by the evaluation committee based on the evaluation criteria and the assigned point value.
6. The SJDC will send an email with the award letter to the successful Offeror and will send regret notifications to unsuccessful Offerors.
7. The contract(s) shall be finalized and awarded to the Offeror(s) whose proposal(s) is/are most advantageous on June 9, 2025. In the event that mutually agreeable terms cannot be reached within the timeframe specified, the SJDC reserves the right to finalize a contract with the next most advantageous Offeror(s) without undertaking a new procurement process. The most advantageous proposal(s) may or may not have received the most points in the evaluation process. The award is subject to the appropriate State approvals. Award of the contract(s) will not vest any Offeror(s) with contract rights, and any contract(s) will not be binding until contract execution and approval. Award occurs at the time a contract mutually acceptable to the SJDC, and the successful Offeror(s) has been finalized.
8. Protest Deadline. Any protest by an Offeror must be timely and in conformance with Section 13-1-172, NMSA 1978, and applicable procurement regulations. The fifteen (15) day protest period for responsive Offerors shall begin on the day following the contract award and will end at 5:00 pm MDT on June 23, 2025. Protest must be written and must include the name and address of the protestor. It must also contain a statement of grounds for protest including appropriate supporting exhibits, and it must specify the ruling requested from the Procurement Manager. The protest must be emailed to the Protest Manager at the electronic address as listed under this section. Protests received after the deadline will not be accepted.
9. All protests shall be communicated via email to:
Daniel Smith
Protest Manager
Second Judicial District Court
albdds@nmcourts.gov

APPENDIX A
REQUEST FOR PROPOSAL

AOT Provider Services
RFP No. 2025-001

ACKNOWLEDGEMENT OF RECEIPT FORM

In acknowledgement of receipt of this Request for Proposal the undersigned agrees that s/he/they has received a complete copy, beginning with the title page and ending with the attachments.

The acknowledgement of receipt should be signed and emailed to the Procurement Manager no later than **May 21, 2025**. Only potential Offerors who elect to return this form completed with the indicated intention of submitting a proposal will receive copies of all written questions and the written responses to those questions as well as RFP amendments, if any are issued.

Organization/Individual *does/does not* (circle one)
intend to respond to this Request for Proposal.

ORGANIZATION/INDIVIDUAL: _____

REPRESENTED BY: _____

TITLE: _____ PHONE NO.: _____

E-MAIL: _____ FAX NO.: _____

ADDRESS: _____

CITY: _____ STATE: _____ ZIP CODE: _____

SIGNATURE: _____ DATE: _____

This name and address will be used for all correspondence related to the Request for Proposal.

Second Judicial District Court
Fiscal Services, Room 327
400 Lomas Blvd. NW
Albuquerque, New Mexico 87102
(505) 841-7467
albddcm@nmcourts.gov

APPENDIX B

LETTER OF TRANSMITTAL FORM

LETTER OF TRANSMITTAL

RFP:

APPLICANT:

ADDRESS:

TELEPHONE:

FAX:

E-MAIL:

PROPOSAL DATE:

PROPOSAL DEADLINE:

FEDERAL TAX NUMBER:

NM GROSS RECEIPTS TAX NUMBER:

ACCEPTANCE:

ACKNOWLEDGEMENTS:

TOTAL COST AND CERTIFICATION

\$ _____

The offeror understands that the Second Judicial District Court reserves the right to reject any or all proposals and to waive any irregularities in order to award the bid in the best interest of the State of New Mexico.

I hereby certify that I am authorized to act on behalf of the company making this proposal and that all statements made in this document are true and correct to the best of my knowledge.

(Signature)

(Date)

APPENDIX C

CAMPAIGN CONTRIBUTION DISCLOSURE FORM

Pursuant to the Procurement Code, Sections 13-1-28, et seq., NMSA 1978 and NMSA 1978, § 13-1-191.1 (2006), as amended by Laws of 2007, Chapter 234, a prospective contractor subject to this section shall disclose all campaign contributions given by the prospective contractor or a family member or representative of the prospective contractor to an applicable public official of the state or a local public body during the two years prior to the date on which a proposal is submitted or, in the case of a sole source or small purchase contract, the two years prior to the date on which the contractor signs the contract, if the aggregate total of contributions given by the prospective contractor or a family member or representative of the prospective contractor to the public official exceeds two hundred fifty dollars (\$250) over the two-year period. A prospective contractor submitting a disclosure statement pursuant to this section who has not contributed to an applicable public official, whose family members have not contributed to an applicable public official or whose representatives have not contributed to an applicable public official shall make a statement that no contribution was made.

A prospective contractor or a family member or representative of the prospective contractor shall not give a campaign contribution or other thing of value to an applicable public official or the applicable public official's employees during the pendency of the procurement process or during the pendency of negotiations for a sole source or small purchase contract.

Furthermore, a solicitation or proposed award for a proposed contract may be canceled pursuant to Section [13-1-181](#) NMSA 1978 or a contract that is executed may be ratified or terminated pursuant to Section [13-1-182](#) NMSA 1978 if a prospective contractor fails to submit a fully completed disclosure statement pursuant to this section; or a prospective contractor or family member or representative of the prospective contractor gives a campaign contribution or other thing of value to an applicable public official or the applicable public official's employees during the pendency of the procurement process.

The state agency or local public body that procures the services or items of tangible personal property shall indicate on the form the name or names of every applicable public official, if any, for which disclosure is required by a prospective contractor.

THIS FORM MUST BE INCLUDED IN THE REQUEST FOR PROPOSALS AND MUST BE FILED BY ANY PROSPECTIVE CONTRACTOR WHETHER OR NOT THEY, THEIR FAMILY MEMBER, OR THEIR REPRESENTATIVE HAS MADE ANY CONTRIBUTIONS SUBJECT TO DISCLOSURE.

The following definitions apply:

“Applicable public official” means a person elected to an office or a person appointed to complete a term of an elected office, who has the authority to award or influence the award of the contract for which the prospective contractor is submitting a competitive sealed proposal or who has the authority to negotiate a sole source or small purchase contract that may be awarded without submission of a sealed competitive proposal.

“Campaign Contribution” means a gift, subscription, loan, advance or deposit of money or other thing of value, including the estimated value of an in-kind contribution, that is made to or received by an applicable public official or any person authorized to raise, collect or expend contributions on that official’s behalf for the purpose of electing the official to statewide or local office. “Campaign Contribution” includes the payment of a debt incurred in an election campaign, but does not include the value of services provided without compensation or unreimbursed travel or other personal expenses of individuals who volunteer a portion or all of their time on behalf of a candidate or political committee, nor does it include the administrative or solicitation expenses of a political committee that are paid by an organization that sponsors the committee.

“Family member” means a spouse, father, mother, child, father-in-law, mother-in-law, daughter-in-law or son-in-law of (a) a prospective contractor, if the prospective contractor is a natural person; or (b) an owner of a prospective contractor;

“Pendency of the procurement process” means the time period commencing with the public notice of the request for proposals and ending with the award of the contract or the cancellation of the request for proposals.

“Prospective contractor” means a person or business that is subject to the competitive sealed proposal process set forth in the Procurement Code [Sections [13-1-28](#) through [13-1-199](#) NMSA 1978] or is not required to submit a competitive sealed proposal because that person or business qualifies for a sole source or small purchase contract.

“Representative of a prospective contractor” means an officer or director of a corporation, a member or manager of a limited liability corporation, a partner of a partnership or a trustee of a trust of the prospective contractor.

Name(s) of Applicable Public Official(s) if any: _____
(Completed by State Agency or Local Public Body)

DISCLOSURE OF CONTRIBUTIONS BY PROSPECTIVE CONTRACTOR:

Contribution Made By:

Relation to Prospective Contractor: _____

Date Contribution(s) Made:

Amount(s) of Contribution(s) _____

Nature of Contribution(s) _____

Purpose of Contribution(s)

(Attach extra pages if necessary)

Signature

Date

Title (position)

--OR--

NO CONTRIBUTIONS IN THE AGGREGATE TOTAL OVER TWO HUNDRED FIFTY DOLLARS (\$250) WERE MADE to an applicable public official by me, a family member or representative.

Signature

Date

Title (Position)

***APPENDIX D - NEW MEXICO EMPLOYEES HEALTH
COVERAGE FORM***

New Mexico Employees Health Coverage Form

1. For all contracts solicited and awarded on or after January 1, 2008: If the Offeror has, or grows to, six (6) or more employees who work, or who are expected to work, an average of at least 20 hours per week over a six (6) month period during the term of the contract, Offeror must agree to have in place, and agree to maintain for the term of the contract, health insurance for those employees and offer that health insurance to those employees no later than July 1, 2010 if the expected annual value in the aggregate of any and all contracts between Contractor and the State exceed \$250,000 dollars.

2. Offeror must agree to maintain a record of the number of employees who have (a) accepted health insurance; (b) decline health insurance due to other health insurance coverage already in place; or (c) decline health insurance for other reasons. These records are subject to review and audit by a representative of the state.

3. Offeror must agree to advise all employees of the availability of State publicly financed health care coverage programs by providing each employee with, as a minimum, the following web site link to additional information <https://www.bewellnm.com/>.

Signature of Offeror: _____ Date

***APPENDIX E - Resident Veterans Preference
Certification***

Resident Veterans Preference Certification

_____ (NAME OF CONTRACTOR) hereby certifies the following in regard to application of the resident veterans' preference to this procurement:

Please check one box only

I declare under penalty of perjury that my business prior year revenue starting January 1 ending December 31 is less than \$1M allowing me the 10% preference on this solicitation. I understand that knowingly giving false or misleading information about this fact constitutes a crime.

I declare under penalty of perjury that my business prior year revenue starting January 1 ending December 31 is more than \$1M but less than \$5M allowing me the 8% preference on this solicitation. I understand that knowingly giving false or misleading information about this fact constitutes a crime.

I declare under penalty of perjury that my business prior year revenue starting January 1 ending December 31 is more than \$5M allowing me the 7% preference on this solicitation. I understand that knowingly giving false or misleading information about this fact constitutes a crime.

“I agree to submit a report, or reports, to the Second Judicial District Court Fiscal Division declaring under penalty of perjury that during the last calendar year starting January 1 and ending on December 31, the following to be true and accurate:

“In conjunction with this procurement and the requirements of this business' application for a Resident Veteran Business Preference/Resident Veteran Contractor Preference under Sections 13-1-21 or 13-1-22 NMSA 1978, when awarded a contract which was on the basis of having such veterans preference, I agree to report to the Second Judicial District Court Fiscal Services Division the awarded amount involved. I will indicate in the report the award amount as a purchase from a public body or as a public works contract from a public body as the case may be.

“I understand that knowingly giving false or misleading information on this report constitutes a crime.”

I declare under penalty of perjury that this statement is true to the best of my knowledge. I understand that giving false or misleading statements about material fact regarding this matter constitutes a crime.

Representative)*

(Date)

(Signature of Business

*Must be an authorized signatory for the Business. The representations made in checking the boxes constitutes a material representation by the business that is subject to protest and may result in denial of an award or termination of award of the procurement involved if the statements are proven to be incorrect.

***APPENDIX F - Resident
Vendor Certificate***

Resident Vendor Certificate

Qualifying applicants should attach the relevant certificate and any other documentation as specified by the New Mexico Taxation and Revenue Department and NMSA 1978, § 13-1-21.

For more information, see: <http://www.tax.newmexico.gov/Businesses/in-state-veteran-preference-certification>.

APPENDIX G – DRAFT PROFESSIONAL SERVICES AGREEMENT

APPENDIX G-

DRAFT PROFESSIONAL SERVICES AGREEMENT



**STATE OF NEW MEXICO
SECOND JUDICIAL DISTRICT COURT
PROFESSIONAL SERVICES AGREEMENT**

THIS PROFESSIONAL SERVICES AGREEMENT, hereinafter referred to as "AGREEMENT", entered into this XXX day of XXX, 2025, by and between the **Second Judicial District Court**, hereinafter referred to as "the COURT" or "SJDC," and **XXX**, hereinafter referred to as "the CONTRACTOR."

ADDRESS OF THE CONTRACTOR:

In consideration of their mutual promises, the parties agree that:

1. SCOPE OF WORK:

The CONTRACTOR shall perform the services described in Attachment A, except as hereafter amended by the mutual agreement of the parties hereto. Attachment A is incorporated by reference and made a part hereof.

2. COMPENSATION:

The COURT will make monthly payment(s) for services rendered. Each payment will be inclusive of gross receipts tax, paid upon receipt of a detailed monthly invoice submitted to the COURT by the CONTRACTOR no later than five (5) calendar days after the end of the month. The monthly invoice submitted by the CONTRACTOR shall include the daily number of hours worked within the month while conducting the Scope of Work above and a general description of the work completed within the month. Payments shall be made to the CONTRACTOR within thirty (30) days of receipt of each monthly invoice.

The total amount of compensation by the COURT to CONTRACTOR under the terms of this AGREEMENT shall not exceed XXX dollars (\$XXX) in FY26, inclusive of gross receipts tax, within which the CONTRACTOR shall provide all scope and deliverables contemplated herein, pursuant to the Scope of Services detailed in Attachment A. The CONTRACTOR will submit monthly invoices for completed tasks for

APPENDIX G-

DRAFT PROFESSIONAL SERVICES AGREEMENT

the itemized services and expenses indicated per task in Attachment B for the work as described in Attachment A.

The CONTRACTOR is solely responsible for all costs and fees for maintaining license and continuing education and all incidental expenses.

Payment is subject to availability of funds pursuant to the Appropriations Paragraph set forth below and to any negotiations between the parties pursuant to Paragraph 1, Scope of Work, and to approval by the COURT. All invoices MUST BE received by the COURT no later than fifteen (15) days after the termination of the Fiscal Year in which the services were delivered. Invoices received after such date WILL NOT BE PAID.

3. TERMS:

This AGREEMENT runs from July 1, 2025, through June 30, 2026, and all services are to be performed within this time unless changes are authorized by the COURT or unless this AGREEMENT is terminated pursuant to Paragraph 4. Work hours shall be determined by the CONTRACTOR to provide the contracted services as soon as possible.

_____ No Renewal Option

XX In accordance with NMSA 1978, § 13-1-150, no contract term for a professional services contract, including extensions and renewals, shall exceed four years, except as set forth in NMSA 1978, § 13-1-150.

4. TERMINATION FOR CAUSE OR CONVENIENCE:

This AGREEMENT may be terminated with cause by the COURT upon written notice delivered to the CONTRACTOR at least ten (10) days prior to the intended date of termination. This AGREEMENT may be terminated without cause by the COURT upon written notice delivered to the CONTRACTOR at least thirty (30) days prior to the intended date of termination. The CONTRACTOR may only terminate this AGREEMENT upon the COURT's uncured, material breach of this AGREEMENT and upon written notice delivered to the COURT at least thirty (30) days prior to the intended date of termination. By such termination, neither party may nullify obligations already incurred for the performance or failure to perform prior to the date of termination.

Notice: Opportunity to Cure.

APPENDIX G-

DRAFT PROFESSIONAL SERVICES AGREEMENT

1. The COURT shall give the CONTRACTOR written notice of termination at least thirty (30) days prior to the intended date of termination.

2. The CONTRACTOR shall give the COURT written notice of termination at least thirty (30) days prior to the intended date of termination, notice of which shall (i) identify all the COURT's material breaches of this AGREEMENT upon which the termination is based and (ii) state what the COURT must do to cure such material breaches. The CONTRACTOR's notice of termination shall only be effective (i) if the COURT does not cure all material breaches within the thirty (30) day notice period or (ii) in the case of material breaches that cannot be cured within thirty (30) days, the COURT does not, within the thirty (30) day notice period, notify the CONTRACTOR of its intent to cure and begin with due diligence to cure the material breach.

3. Notwithstanding the foregoing, this AGREEMENT may be terminated immediately upon written notice to the CONTRACTOR (i) if the CONTRACTOR becomes unable to perform the services contracted for, as determined by the COURT; (ii) if, during the term of this AGREEMENT, the CONTRACTOR is suspended or debarred by the State Purchasing Agent; or (iii) the Agreement is terminated pursuant to Paragraph 5, "Appropriations", of this AGREEMENT.

4. Liability. Except as otherwise expressly allowed or provided under this AGREEMENT, the COURT's sole liability upon termination shall be to pay for acceptable work performed prior to the CONTRACTOR's receipt or issuance of a notice of termination; provided, however, that a notice of termination shall not nullify or otherwise affect either party's liability for pre-termination defaults under or breaches of this AGREEMENT. The CONTRACTOR shall submit an invoice for such work within thirty (30) days of receiving or sending the notice of termination. *THIS PROVISION IS NOT EXCLUSIVE AND DOES NOT WAIVE THE COURT'S OTHER LEGAL RIGHTS AND REMEDIES CAUSED BY THE CONTRACTOR'S DEFAULT/BREACH OF THIS AGREEMENT.*

5. Termination Management. Immediately upon receipt by either the COURT or the CONTRACTOR of notice of termination of this AGREEMENT, the CONTRACTOR shall: i) not incur any further obligations for salaries, services or any other expenditure of funds under this AGREEMENT without written approval of the COURT; ii) comply with all directives issued by the COURT in the notice of termination as to the performance of work under this AGREEMENT; and iii) take such action as the COURT shall direct for the protection, preservation, retention or transfer of all property titled to the COURT and records generated under this AGREEMENT. Any non-expendable personal property or

APPENDIX G-

DRAFT PROFESSIONAL SERVICES AGREEMENT

equipment provided to or purchased by the CONTRACTOR with contract funds shall become property of the COURT upon termination and shall be submitted to the COURT as soon as practicable.

5. APPROPRIATIONS:

The terms of this AGREEMENT are contingent upon sufficient appropriations and authorization being made by the United States Government, Legislature of New Mexico, and Bernalillo County for the performance of this AGREEMENT. If sufficient appropriations, grant funding, and authorization are not made by the New Mexico Legislature, the United States of America or Bernalillo County as determined by the COURT, this AGREEMENT shall terminate immediately upon written notice being given by the COURT to the CONTRACTOR. The COURT's decision as to whether sufficient appropriations are available shall be accepted by the CONTRACTOR and shall be final. If the COURT proposes an amendment to the AGREEMENT to unilaterally reduce funding, the CONTRACTOR shall have the option to terminate the AGREEMENT or to agree to the reduced funding, within thirty (30) days of receipt of the proposed AMENDMENT.

6. CONTRACT MANAGER:

All written notifications and invoices required in this AGREEMENT shall be in writing and submitted by the CONTRACTOR to the COURT's Executive Officer. The COURT's Contract Manager is:

Katina Watson
Court Executive Officer
Second Judicial District Court
400 Lomas Blvd. NW
Albuquerque, NM 87102

Written notifications from the COURT to the CONTRACTOR shall be sent via first class mail, postage pre-paid, to the address listed by the CONTRACTOR on the first page of this AGREEMENT. The CONTRACTOR is responsible for immediately notifying the COURT in writing of any changes to the contact information for the CONTRACTOR.

7. STATUS OF THE CONTRACTOR:

The CONTRACTOR and the CONTRACTOR's agents and employees are independent contractors performing professional services and are not employees of the state. The CONTRACTOR and the CONTRACTOR's agents and employees shall not,

APPENDIX G-

DRAFT PROFESSIONAL SERVICES AGREEMENT

as a result of this AGREEMENT, accrue leave, retirement, insurance, bonding, use of state vehicles or any other benefits afforded to state employees. The CONTRACTOR shall carry its own liability insurance and provide proof of such coverage.

8. ASSIGNMENT:

The CONTRACTOR shall not assign or transfer any interest in this AGREEMENT or assign any claims for money due or to become due under this AGREEMENT without the prior written approval of the COURT.

9. SUBCONTRACTING:

The CONTRACTOR shall not subcontract any portion of the services to be performed under this AGREEMENT without the written approval of the COURT.

10. RECORDS AND AUDIT:

The CONTRACTOR shall maintain detailed time records that indicate the date, time and nature of services rendered. These records shall be provided by the CONTRACTOR for inspection by the COURT and the State Auditor upon written request of the COURT. The COURT has the right to audit billings both before and after payment. Payment under this AGREEMENT is not a waiver of the right to the COURT to recover excessive or illegal payments.

11. FINAL PAYMENT:

Since all payments under this AGREEMENT shall be monthly, the COURT shall be entitled to withhold the final payment due hereunder, pending final approval by the COURT of the services rendered and the return of any COURT property. Upon receipt and acceptance of a final invoice prior to the final payment, the CONTRACTOR shall furnish the COURT proof in documentary form that all claims, liens, salaries or other obligations incurred by it in accordance with the services specified herein have been properly paid and released.

12. RELEASE:

Upon final payment of the amount due under this AGREEMENT, the CONTRACTOR releases the COURT, its employees and the State of New Mexico from all liability, claims and obligations arising under this AGREEMENT that were reasonably discoverable prior to final payment. The CONTRACTOR agrees not to propose to bind the COURT to any obligations not assumed in this AGREEMENT by the COURT, unless the CONTRACTOR has express authority to do so, and then only within the strict limits of that authority.

APPENDIX G-

DRAFT PROFESSIONAL SERVICES AGREEMENT

13. CONFIDENTIALITY:

Any information given to or developed by the CONTRACTOR in the performance of this AGREEMENT shall be kept confidential and shall not be made available to any individual or entity by the CONTRACTOR without the prior approval of the COURT.

14. PRODUCT OF SERVICE - COPYRIGHT:

All materials developed or acquired by the CONTRACTOR under this AGREEMENT shall become the property of the COURT, and shall be delivered to the COURT. Nothing produced, in whole or in part, by the CONTRACTOR under this AGREEMENT shall be the subject of an application for copyright by or on behalf of the CONTRACTOR.

15. CONFLICT OF INTEREST; GOVERNMENTAL CONDUCT ACT:

The CONTRACTOR warrants that it currently has no interest and shall acquire no interest, direct or indirect, that would conflict in any manner or degree with the performance of services required pursuant to this AGREEMENT. The CONTRACTOR shall comply with all statutory provisions that require disclosure to the Secretary of State of amount received under state contract when and if such provisions become applicable. The CONTRACTOR further represents and warrants that it has complied with, and, during the term of this AGREEMENT, will continue to comply with, and that this AGREEMENT complies with all applicable provisions of the Governmental Conduct Act, Chapter 10, Article 16 NMSA 1978.

16. PROHIBITION AGAINST DUAL COMPENSATION:

The charges for services rendered under this AGREEMENT are reimbursable or subject to compensation only to the extent that such services relate exclusively and directly to the purpose of this AGREEMENT and supplemental or additional payment for such services is not received by the CONTRACTOR from any other source.

17. EQUAL EMPLOYMENT OPPORTUNITY:

The CONTRACTOR, in the performance of this AGREEMENT, shall not discriminate against any employee, client or other person in violation of state or federal law, or on the basis of race, color, religion, national origin, sex, age or disability.

18. ADA COMPLIANCE:

APPENDIX G-

DRAFT PROFESSIONAL SERVICES AGREEMENT

In performing the services required hereunder, the CONTRACTOR agrees to meet all the requirements of the American with Disabilities Act of 1990, and all applicable rules and regulations, which are imposed directly on the CONTRACTOR or which would be imposed on the COURT as a public entity.

19. WORKERS' COMPENSATION:

The CONTRACTOR agrees to comply with state laws and rules applicable to workers' compensation benefits for its employees. If the CONTRACTOR fails to comply with the Workers' Compensation Act and applicable rules when required to do so, this AGREEMENT may be terminated by the COURT.

20. EMPLOYEE PAY EQUITY REPORTING:

The CONTRACTOR agrees if it has ten (10) or more New Mexico employees OR eight (8) or more employees in the same job classification, at any time during the term of this contract, to complete and submit the PE10-249 form on the annual anniversary of the initial report submittal for contracts up to one (1) year in duration. If the CONTRACTOR has two hundred and fifty (250) or more employees, the CONTRACTOR must complete and submit the PE250 form on the annual anniversary of the initial report submittal for contracts up to one (1) year in duration. For contracts that extend beyond one (1) calendar year, or are extended beyond one (1) calendar year, the CONTRACTOR also agrees to complete and submit the PE10-249 or PE250 form, whichever is applicable, within thirty (30) days of the annual contract anniversary date of the initial submittal date or, if more than 180 days has elapsed since submittal of the last report, at the completion of the contract, whichever comes first. Should the CONTRACTOR not meet the size requirement for reporting at contract award but subsequently grows such that they meet or exceed the size requirement for reporting, the CONTRACTOR agrees to provide the required report within ninety (90) days of meeting or exceeding the size requirement. That submittal date shall serve as the basis for submittals required thereafter. The CONTRACTOR also agrees to levy this requirement on any subcontractor(s) performing more than 10% of the dollar value of this contract if said subcontractor(s) meets, or grows to meet, the stated employee size thresholds during the term of the contract. The CONTRACTOR further agrees that, should one or more subcontractor not meet the size requirement for reporting at contract award but subsequently grows such that they meet or exceed the size requirement for reporting, the CONTRACTOR will submit the required report, for each such subcontractor, within ninety (90) days of that subcontractor meeting or exceeding the size requirement. Subsequent report submittals, on behalf of each such subcontractor, shall be due on the annual anniversary of the initial report submittal. The

APPENDIX G-

DRAFT PROFESSIONAL SERVICES AGREEMENT

CONTRACTOR shall submit the required form(s) to the State Purchasing Division of the General Services Department, and other departments as may be determined, on behalf of the applicable subcontractor(s) in accordance with the schedule contained in this paragraph. The CONTRACTOR acknowledges that this subcontractor requirement applies even though the CONTRACTOR itself may not meet the size requirement for reporting and be required to report itself.

Notwithstanding the foregoing, if this AGREEMENT was procured pursuant to a solicitation, and if the CONTRACTOR has already submitted the required report accompanying their response to such solicitation, the report does not need to be re-submitted with this AGREEMENT.

21. PROCUREMENT CODE NOTICE:

The Procurement Code, NMSA 1978, §§ 13-1-28 through 13-1-199, imposes civil and criminal penalties for its violation. In addition, the New Mexico criminal statutes impose felony penalties for illegal bribes, gratuities, and kickbacks.

22. SCOPE OF AGREEMENT:

This AGREEMENT, with the attached Scope of Services and list of deliverables, incorporates all the agreements, covenants, and understandings between the parties concerning the subject matter of the agreement, and all such covenants, agreements and understandings are merged into this written AGREEMENT. No prior agreement or understanding, verbal or otherwise, of the parties or their agents shall be valid or enforceable unless embodied in the AGREEMENT.

23. AMENDMENT:

This AGREEMENT shall not be altered, changed or amended except by instrument in writing executed by the parties to the AGREEMENT.

24. APPLICABLE LAW:

This AGREEMENT shall be governed by the applicable laws, statutes, rules and regulations of the State of New Mexico.

25. INVALID TERM OR CONDITION:

If any term or condition of this AGREEMENT shall be held invalid or unenforceable, the remainder of this AGREEMENT shall not be affected and shall be valid and enforceable.

APPENDIX G-

DRAFT PROFESSIONAL SERVICES AGREEMENT

26. ENFORCEMENT OF AGREEMENT:

A party's failure to require strict performance of any provision of this AGREEMENT shall not waive or diminish that party's right thereafter to demand strict compliance with that or any other provision. No waiver by a party of any of its rights under this AGREEMENT shall be effective unless express and in writing, and no effective waiver by a party of any of its rights shall be effective to waive any other rights.

27. EFFECTIVE DATE:

This AGREEMENT is not effective until signed by all parties and is effective on the date specified in Paragraph 3 of this AGREEMENT.

28. INDEMNIFICATION:

The CONTRACTOR shall defend, indemnify and hold harmless the COURT and the State of New Mexico from all actions, proceedings, claims, demands, costs, damages, attorneys' fees and all other liabilities and expenses of any kind from any source which may arise out of the performance of this AGREEMENT, caused by the negligent act or failure to act of the CONTRACTOR, its officers, employees, servants or agents, if acting within the scope of their employment and pursuant to this AGREEMENT. In the event that any action, suit or proceeding related to the services performed by the CONTRACTOR or any officer, employee, servant or agent under this AGREEMENT is brought against the CONTRACTOR, the CONTRACTOR shall, as soon as practicable but no later than two (2) days after it receives notice thereof, notify the COURT and the Risk Management Division of the New Mexico General Services Department by certified mail. As an independent contractor, the CONTRACTOR is not covered by or entitled to representation by the Risk Management Division of the New Mexico General Services Department.

29. NOTICES:

Any notice required to be given to either party by this AGREEMENT shall be in writing and shall be delivered in person, by courier service or by U.S. mail, either first class or certified, return receipt requested, postage prepaid, as follows:

To the Court:
Katina Watson
Second Judicial District Court
Bernalillo County Courthouse
400 Lomas Blvd. NW

APPENDIX G-

DRAFT PROFESSIONAL SERVICES AGREEMENT

Albuquerque, NM 87102
(505) 841-7425

To the CONTRACTOR:

APPENDIX G-

DRAFT PROFESSIONAL SERVICES AGREEMENT

30. SIGNATURE:

Signed by the parties on the dates indicated:

**STATE OF NEW MEXICO
SECOND JUDICIAL DISTRICT COURT:**

Katina Watson, Court Executive Officer

Date Signed

Alison K. Orona, General Counsel
Certifying Legal Sufficiency

Date Signed

CONTRACTOR:

Date Signed

APPENDIX G-

DRAFT PROFESSIONAL SERVICES AGREEMENT

THE FOLLOWING ARE NEITHER PARTIES NOR PRIVIES TO THIS AGREEMENT:

The Records of the Taxation and Revenue Department reflect that the CONTRACTOR is registered for payment of the New Mexico gross receipts tax.

YES _____ New Mexico Tax I.D. # _____

NO _____

The Records of the Taxation and Revenue Department reflect that the CONTRACTOR is exempt from the payment of the New Mexico gross receipts tax.

YES _____

NO _____

BY: _____
TAX & REVENUE DEPARTMENT

Date Signed

APPROVED: _____
ADMINISTRATIVE OFFICE OF THE COURTS

Date Signed

APPENDIX G-

DRAFT PROFESSIONAL SERVICES AGREEMENT

CAMPAIGN CONTRIBUTION DISCLOSURE FORM

Pursuant to NMSA 1978, § 13-1-191.1 (2006), any person seeking to enter into a contract with any state COURT or local public body **for professional services, a design and build project delivery system, or the design and installation of measures the primary purpose of which is to conserve natural resources** must file this form with that state COURT or local public body. This form must be filed even if the contract qualifies as a small purchase or a sole source contract. The prospective the CONTRACTOR must disclose whether they, a family member or a representative of the prospective the CONTRACTOR has made a campaign contribution to an applicable public official of the state or a local public body during the two years prior to the date on which the CONTRACTOR submits a proposal or, in the case of a sole source or small purchase contract, the two years prior to the date the CONTRACTOR signs the contract, if the aggregate total of contributions given by the prospective the CONTRACTOR, a family member or a representative of the prospective the CONTRACTOR to the public official exceeds two hundred and fifty dollars (\$250) over the two year period.

Furthermore, the state COURT or local public body shall void an executed contract or cancel a solicitation or proposed award for a proposed contract if: 1) a prospective the CONTRACTOR, a family member of the prospective the CONTRACTOR, or a representative of the prospective the CONTRACTOR gives a campaign contribution or other thing of value to an applicable public official or the applicable public official's employees during the pendency of the procurement process or 2) a prospective the CONTRACTOR fails to submit a fully completed disclosure statement pursuant to the law.

THIS FORM MUST BE FILED BY ANY PROSPECTIVE the CONTRACTOR WHETHER OR NOT THEY, THEIR FAMILY MEMBER, OR THEIR REPRESENTATIVE HAS MADE ANY CONTRIBUTIONS SUBJECT TO DISCLOSURE.

The following definitions apply:

APPENDIX G-

DRAFT PROFESSIONAL SERVICES AGREEMENT

“Applicable public official” means a person elected to an office or a person appointed to complete a term of an elected office, who has the authority to award or influence the award of the contract for which the prospective the CONTRACTOR is submitting a competitive sealed proposal or who has the authority to negotiate a sole source or small purchase contract that may be awarded without submission of a sealed competitive proposal.

“Campaign Contribution” means a gift, subscription, loan, advance or deposit of money or other thing of value, including the estimated value of an in-kind contribution, that is made to or received by an applicable public official or any person authorized to raise, collect or expend contributions on that official’s behalf for the purpose of electing the official to either statewide or local office.

“Campaign Contribution” includes the payment of a debt incurred in an election campaign, but does not include the value of services provided without compensation or unreimbursed travel or other personal expenses of individuals who volunteer a portion or all of their time on behalf of a candidate or political committee, nor does it include the administrative or solicitation expenses of a political committee that are paid by an organization that sponsors the committee.

“Family member” means spouse, father, mother, child, father-in-law, mother-in-law, daughter-in-law or son-in-law.

“Pendency of the procurement process” means the time period commencing with the public notice of the request for proposals and ending with the award of the contract or the cancellation of the request for proposals.

“Person” means any corporation, partnership, individual, joint venture, association or any other private legal entity.

“Prospective the CONTRACTOR” means a person who is subject to the competitive sealed proposal process set forth in the Procurement Code or is not required to submit a competitive sealed proposal because that person qualifies for a sole source or a small purchase contract.

“Representative of a prospective the CONTRACTOR” means an officer or director of a corporation, a member or manager of a limited liability corporation, a partner of a partnership or a trustee of a trust of the prospective the CONTRACTOR.

DISCLOSURE OF CONTRIBUTIONS:

APPENDIX G-

DRAFT PROFESSIONAL SERVICES AGREEMENT

Contribution Made By: _____

Relation to Prospective the CONTRACTOR:

Name of Applicable Public Official: _____

Date Contribution(s) Made: _____

Amount(s) of Contribution(s) _____

Nature of Contribution(s) _____

Purpose of Contribution(s) _____

(Attach extra pages if necessary)

Signature

Date

Title (position)

—OR—

NO CONTRIBUTIONS IN THE AGGREGATE TOTAL OVER TWO HUNDRED FIFTY DOLLARS (\$250) WERE MADE to an applicable public official by me, a family member or representative.

Signature

Date

Title (Position)

APPENDIX G-

DRAFT PROFESSIONAL SERVICES AGREEMENT

ATTACHMENT A

SCOPE OF SERVICES

AOT is a civil court program that facilitates the delivery of community-based behavioral treatment to individuals with a serious mental illness who have a prior history of treatment non-adherence, which has resulted in multiple hospitalizations and/or incarcerations. The intent of the AOT program is to improve the quality of life for persons with a primary diagnosis of a mental health disorder, and possible secondary substance use disorder, by engaging clients in effective treatment to over-come the barriers that have led to their high utilization of crisis-based treatment services and unnecessary incarceration.

Specific Requirements for Case Management Provision:

The CONTRACTOR shall:

- A. Provide case management services for participants enrolled in AOT in the form of Comprehensive Community Support Services (CCSS) or Assertive Community Treatment (ACT) services.
- B. Involve families in treatment in order to improve participant outcomes.
- C. Increase medication and outpatient treatment adherence among program participants.
- D. Assure that the appropriate connections occur with primary care or other medical resources. Promote client treatment and service plan adherence as well as medication adherence through client and family contact via phone, home visits, and in-person meetings whose frequency is determined by the acuity of the client's symptomatology. Meet with the participant on a regular basis to determine adherence and that medical, behavioral health, and social needs are being met.
- E. Assist in facilitating appointments and ensure that social needs such as transportation is not a barrier. Provide, assist, and educate participants on obtaining transportation to services that support treatment plan goals.
- F. Provide appropriate linkages to supportive housing, food assistance, benefits enrollment plans, and other social needs; and referrals to legal representation, as applicable.
- G. Provide or connect client to, educational and vocational training activities, as indicated.
- H. Provide or connect client to, alcohol and substance abuse treatment and counseling, as indicated.

APPENDIX G-

DRAFT PROFESSIONAL SERVICES AGREEMENT

- I. Facilitate and encourage the development of skills in the following areas: activities of daily living, interpersonal coping, socialization, & community functioning. Based on the needs of the participant, this function could include facilitating adaptation to the home, school, work, recreational, and social environments; pro-active self-care, nutrition, and money management.
- J. Offer information and resources to provide participant information on their specific diagnosis; serve as a mentor to promote recovery and resiliency and instill hope; teach symptom monitoring, symptom management, and relapse prevention skills.
- K. Assist individuals with knowledge of their medication, side effects, discuss medication concerns with the provider; facilitate self-motivational skills for medication regimen, including consequences to independent living.
- L. Assist in the development and coordination of the participant's treatment plan based on their identified strengths and goals. The plan will include a recovery/resiliency management plan, crisis management plan and if requested, advanced directives concerning the participant's behavioral healthcare.
- M. Work with the participant to identify personal strengths, needs and barriers to attaining self-identified goals; conduct ongoing assessments to determine if the services accessed are meeting or have adequately met the participant's needs.
- N. Assess, support and intervene in crisis situations including the facilitation of the development and use of individual crisis management plans that recognize the early signs of crisis/relapse and use natural supports. Identify and encourage use of alternatives to hospital emergency departments and inpatient hospital services.
- O. Collaborate and coordinate with area hospitals to ensure appropriate discharge planning and follow up for individuals participating in the AOT program.
- P. Coordinate and monitor use of services, including comprehensive tracking of participant activities in relation to the treatment plan such as attendance to all scheduled appointments, reviewing documentation of other in-house providers, and maintaining contact with external providers.
- Q. Communicate with the court to include regular adherence reports and follow up meetings as needed. Review attendance and participation information from assigned clinical services to make sure that the treatment objectives of the client are being met. Should it become apparent that the participant is not successful in engaging in treatment, all AOT-related providers will convene to determine the best intervention to facilitate treatment engagement, which will include filing a nonadherence form (notification of important concern report) with the courts, at no more than 30 days, but in as little as 3 days after the determination of non-adherence. The non-adherence report notifies the courts that further action is required. Clients will be advised of the consequence for non-compliance and the need to reappear before the courts and, as needed, connected to appropriate legal

APPENDIX G-

DRAFT PROFESSIONAL SERVICES AGREEMENT

representation.

- R. Assist in facilitating the participant's appearance at court, as well as attend court with the participant.

AND/OR

Specific Requirements for Qualified Professional Services:

The CONTRACTOR Shall:

- A. Have a qualified professional on staff available to assess and prescribe prescribing for AOT clients, as defined by the state of New Mexico - a physician, licensed psychologist, prescribing psychologist, certified nurse practitioner or clinical nurse specialist with a specialty in mental health, or a physician assistant with a specialty in mental health.
- B. Conduct psychiatric assessments of individuals referred and screened for eligibility for AOT. Assessments can occur in the hospital, a detention facility, or in the community.
- C. Determine if the referred individual is unwilling or unlikely to participate voluntarily in outpatient treatment without which the participant is unlikely to live safely in the community without court supervision.
- D. Determine if AOT is the least restrictive appropriate alternative for the referred individual, and necessary to prevent a relapse or deterioration likely to result in serious harm to self or others.
- E. Determine if the referred individual is likely to benefit from AOT and that AOT is in the individual's best interests.
- F. Submit an affidavit within thirty (30) days of the individual's examination documenting clinical recommendations for the referred individual to participate in AOT.
- G. Assist in the creation of a written proposed treatment plan. Identify whether comprehensive community support services (CCSS) or an assertive community treatment (ACT) will provide the care coordination for AOT participants. If the plan includes medication, it shall state whether such medication should be self-administered or administered by a specified provider and shall specify type and dosage range of medication. In no event shall the plan recommend the use of physical force or restraints to administer medication to the respondent. The treatment plan will be reviewed with clients every ninety (90) days thereafter, with any recommended addendums submitted to the court for approval as needed.
- H. Attend hearings within three (3) to seven (7) days of the petition being filed, either in person or virtually as determined by the court.
- I. Provide participants with medication access and adherence consultations including prescription benefits enrollment support and medication management education if needed, which could include the use of Telehealth.

APPENDIX G-

DRAFT PROFESSIONAL SERVICES AGREEMENT

- J. Order periodic blood or urine tests to determine compliance with medication, as needed.

Program specific outcomes include but are not limited to the following:

- Increase in appointment adherence among participants throughout the 12-month program, including 90-day treatment plan updates and family/self-reported improvement in adherence to medication instructions.
- Cross-train clinical teams and court oversight staff in delivering age, gender and culturally linguistic appropriate services; appropriate linkages to supportive housing, food assistance, benefits enrollment plans, and other social needs; and referrals to legal representation, to 100% of AOT participants.
- Anticipated outcomes may include, but are not limited to, reduction in client utilization of emergency department and hospitalization; reduction in length of stay at inpatient mental facilities and jail; reduction in arrests, jail entry and re-entry of persons experiencing behavioral health crises; reduction in adverse experiences (self-harm, violence to others, homelessness, further contact with the police, etc.) after AOT engagement; increased quality of life (i.e., better coping strategies; reduction in use of higher levels of care; reduction in suicide or other violence, or sustained participation in treatment); satisfaction among clients, families, and program staff; and increased savings to health systems through increased community-based care and less reliance on higher levels of care.

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APPENDIX G-

DRAFT PROFESSIONAL SERVICES AGREEMENT

ATTACHMENT B

COMPENSATION

The CONTRACTOR shall receive payment from the COURT on an hourly basis, payable in increments of 15 minutes, for staff time or on an actual-cost basis for purchase of supplies, materials, and other consumable items.

A. BASIS PAYMENTS FOR STAFF TIME

[INSERT RATES]

The CONTRACTOR shall provide to the COURT monthly invoices for completed tasks for the itemized services. The invoice shall include the actual hours during which work connected to the AOT program was performed. The CONTRACTOR shall submit a monthly Activity Report Invoice as required by the COURT.

B. ACTUAL-COST BASIS PAYMENTS FOR SUPPLIES, MATERIALS, ETC.

The CONTRACTOR shall provide to the COURT monthly invoices for completed tasks for the itemized expenses of actual costs of operational expenses permitted under the Scope of Services. The invoice shall detail any supplies, materials, or other items purchased and the actual cost for those items. The CONTRACTOR shall also submit the receipts for actual costs and complete the AOT Operational Expenses Invoice as required by the COURT.

The CONTRACTOR shall not submit any invoices to the COURT for services billed to Medicaid.

The total amount of compensation by the COURT to the CONTRACTOR under the terms of this AGREEMENT shall not exceed XXX dollars (\$XXX) for the terms of this AGREEMENT inclusive of gross receipts tax.